

10/550657

JC20 Rec'd PCT/PTO 26 SEP 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Klug Christopher A.	
Serial No.: Newly Filed Application	
Filed: September 26, 2005	NATIONAL PHASE APPLICATION OF PCT/US2004/08607 UNDER 35 USC 371 TITLED REGULATION OF SELF- RENEWAL IN STEM CELLS
Int. Filing Date: March 22, 2004, 2004	
Attorney Docket No.: P63235WO01GP	

Commissioner for Patents
Mail Stop PCT
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Certification under 37 CFR 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation listing references of which applicant(s) are aware, which applicant(s) believe(s) may be material to the

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examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'T. Gregory Peterson', with a long horizontal line extending to the right.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	n.a. 10/550657
Filing Date	09-26-2005
First Named Inventor	Klug
Art Unit	n/a
Examiner Name	n/a
Attorney Docket Number	P63235WO01GP

Sheet 2 of 2

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MULLOY et al.; The AML1-ETO fusion protein promotes the expansion of human hematopoietic stem cells,	
		pp. 15-23, Blood, Vol. 99, No. 1, 2003	
	2	LUTTERBACH et al.; ETO, a target of t(8;21) in acute leukemia, interacts with the N-CoR and mSin3	
		corepressors, pp7176-7184, Mol. Cell. Biol., Vol. 18, No. 12, 1998	

Examiner
SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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